IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on November 9, 2001.

Applicant

Kelly G. Johnson, et al.

Application No.:

09/539,197

Filed

March 30, 2000

Title

PECENED PERMODORY CONTESTED MULTI-CHANNEL, MULTI-SERVICE DEBUG ON A

PIPELINED CPU ARCHITECTURE

Grp./Div.

2123

Examiner

To be assigned

Docket No.

41163/CAG/B600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 November 9, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 with a listing of references that are known to applicants. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicants also request that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicants with the next communication from the Office in accordance with MPEP § 609.

Applicants' undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

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in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

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Craig A. Gelfound Reg. No. 41,032

626/795-9900

CAG/cam

Enclosures: PTO 1449, w/references (4)

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